

**COMMON TRANSACTION SLIP (FOR EXISTING INVESTORS ONLY)**  
**This Form is for use of Existing Investors only. To be filled in CAPITAL LETTERS**

Distributor Code : \_\_\_\_\_ Distributor Name: \_\_\_\_\_

**1. APPLICANT'S DETAILS (AS PER FOLIO) Existing Folio No.** \_\_\_\_\_

**\*Name of the Applicant/ Entity** (As per Folio) \_\_\_\_\_

**\*Name of Contact Person** (As per Folio details) \_\_\_\_\_

TIN (1st Applicant) \_\_\_\_\_

**2. PURCHASE (DEFAULT PLAN/OPTION WILL BE APPLIED IN CASE OF NO INFORMATION, AMBIGUITY OR DISCREPANCY)**

Scheme Name: \_\_\_\_\_

Amount \$ In Figure \_\_\_\_\_ Amount \$ \_\_\_\_\_ In Words \_\_\_\_\_

Payment Mode: ☐ Fund transfer \_\_\_\_\_ Date: 

D	D	M	M	Y	Y	Y	Y
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Reference/ Transaction number \_\_\_\_\_

Bank Name: \_\_\_\_\_ Bank A/C No: \_\_\_\_\_

Branch Name: \_\_\_\_\_

IBAN (International Bank Account Number) (if Applicable) \_\_\_\_\_

**Source of Fund**

☐ I/We confirm that the funds transferred are sourced from my/our personal/business assets and/or from benefits of transactions due to me/us of which are known to me/us, more specifically, from (Please Select Source of Funds).

Status	Business Profits or dividends	Income from employment	Sale of Business or Partnership	Inheritance	Royalties	Investments	Gift	Compensation Payment	Sale of Property	Lottery/betting/ casino winnings	Savings	Other (Please Specify )
1st Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
2nd Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3rd Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

The funds are derived from or originated from (Country name) \_\_\_\_\_

☐ I/we am/are not involved in any criminal, unlawful or money laundering activity and the above- mentioned funds as well as funds to be used in the future are clear, shall not be derived from or otherwise be connected with any activity which is illegal or unlawful;

- I am not currently insolvent and any transfer of assets involving the company will not render my estate or myself insolvent. I further declare that I have never been convicted in any court of law for a criminal offence or financial crime OR the Applicant has not been subject to any bankruptcy, liquidation or similar proceedings and that no orders have been issued against the Applicant by any legal or regulatory enforcement bodies;
- The Fund or the Investment Manager may disclose this information to law enforcement authorities when required by applicable laws and I/we shall inform them of any change herein and provide any additional information required by them;
- The information provided in this document is true, complete and correct. I/We agree to provide any further information or documentary evidence in respect of the funds upon request from the Fund.

**3. REDEMPTION**

☐ Full Redemption (All Units) \_\_\_\_\_ OR ☐ Partial Redemption Amount: \$ \_\_\_\_\_ In Figure \_\_\_\_\_ or Units: \_\_\_\_\_ In Words \_\_\_\_\_

Scheme Name: \_\_\_\_\_

Bank Name: \_\_\_\_\_ Bank A/C No: \_\_\_\_\_

Branch Name: \_\_\_\_\_

SWIFT Code/IFSC Code \_\_\_\_\_ LEI Code\*: \_\_\_\_\_ \*Legal Entity Identifier Number is Mandatory for Redemption Transaction value of INR 50 crore and above for Non-Individual Investors.

IBAN (International Bank Account Number) (if Applicable) \_\_\_\_\_

Note: Please verify your bank details before submitting the redemption request. In case of any change in bank details, a separate request for change of bank details must be submitted along with a notarized copy of the new bank proof.

**4. DECLARATION & SIGNATURES (APPLICANTS MUST SIGN AS PER MODE OF HOLDING)**

I/We have read and understood the contents of the Offer Document of the Marcellus Global Equities Fund and the terms of the additional investment. I/We hereby declare that the amount is invested through legitimate sources only.

x  
 \_\_\_\_\_  
 First / Sole Applicant / Guardian / Authorised Signatory

x  
 \_\_\_\_\_  
 2nd Applicant's Signature

x  
 \_\_\_\_\_  
 3rd Applicant's Signature

**Note** - Filled and signed forms to be sent to [gift.retail@marcellus.in](mailto:gift.retail@marcellus.in)

# COMMON TRANSACTION SLIP

This Form is for use of Existing Investors only. To be filled in CAPITAL LETTERS

## TERM & CONDITIONS

This form should be used by existing investors only by mentioning their folio number, name and Scheme details. Please read the Scheme related documents, s and Instructions there-in and below mentioned instructions carefully before filling up the form. Investors should provide details/instructions only in the designated space provided in the form else the same may not be considered.

### 1. ADDITIONAL PURCHASE & PAYMENT DETAILS:

Investors should fill in the name of the scheme, plan and option. In case the details are not proper and clear or in case of incomplete details, non-clarity or ambiguity, default options will be considered and applied. Separate cheques and forms should be given for each separate investment in a different scheme, plan or option.

The first unit holder should be one of the bank account holders in the pay-in bank account. Purchase application through Funds transfer requests should necessarily mention the pay-in bank account details i.e. account number and bank, name of the bank account used for issuing the payments to the fund

If this is not evidenced on the payment funds transfer request, or in case of demand drafts, unit holder should attach necessary supporting documents as required by the fund, like a bank certificate, bank passbook copy or statement to prove that the funds are from a bank account held by the first unit holder only.

If the documents are not submitted with the application, the fund management entity reserves the right to reject the application or call for additional details.

In case the pay-in bank details provided with the additional purchase are not any of the registered bank accounts with the folio, and if it is evidenced that the first unit holder is one of the bank account holders in the pay-in bank account, the request will be eligible to process. However the pay-in bank account will not be updated as a registered bank account with the folio. If investors wish to add or modify such bank details with the folio a separate Bank modification request signed by all the unit holders should be provided, irrespective of mode of holding of the folio.

Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements as applicable from time to time. Applications are liable to be rejected without any intimation to the applicants, if required KYC compliance is not completed by all the applicants/unit holders.

### 2. REDEMPTION REQUEST:

Please specify the bank details in which you wish to receive the redemption proceeds. Kindly note that this bank account should be the registered bank account in the folio.

Note: In case Change of bank details request has been submitted in last 10 working days, we may HOLD the redemption payout for 7 working days. The payout will then be made on the 8th working day. If the 8th working day is a holiday / non-transaction day, then the redemption payout will be made on the next working day.

Redemption may not be processed if folio number and full scheme name including plan and option is not mentioned. Please ensure that either of amount or Specific units are mentioned in the partial redemption request.

If the balance in your folio is less than this redemption request, all units or entire balance shall be redeemed.

In order to protect the interest of investors from fraudulent encashment of cheques and as per the current IFSCA Regulations, it is mandatory for applicants to mention in their Application / Redemption request the bank name and account number.

### 3. NAV Applicability:

Applicability of NAV shall be based on receipt of application and the entire amount as per the application are credited to the bank account of the respective Scheme or of the Fund before the cut-off time i.e. available for utilization before the cut-off time and other terms specified in the Offer document and other scheme related documents.